Official Office Use Only



UNITED STATES DISTRICT COURT CENTRAL DISTRICT OF CALIFORNIA SOUTHERN DIVISION

HsingChing Hsu v. Puma Biotechnology, Inc.

Case No. 8:15-cv-00865

PROOF OF CLAIM

<u>Please Type or Print in the Boxes Below</u> Do <u>NOT</u> use Red Ink, Pencil, or Staples Must be Postmarked (if Mailed) or Received (if Submitting Online) No Later Than January 28, 2020

PUY

DART I. OLAIMANT IDENTIFICATION		
PART I: CLAIMANT IDENTIFICATION Last Name	M.I.	First Name
Last Name (Co-Beneficial Owner)	M.I.	First Name (Co-Beneficial Owner)
IRA Joint Tenancy Employee	Individ	dual Other
Company Name (Beneficial Owner - If Claimant is not an Individual)	or Custo	dian Name if an IRA (specify)
Trustee/Asset Manager/Nominee/Record Owner's Name (If Different	from Be	neficial Owner Listed Above)
Account#/Fund# (Not Necessary for Individual Filers)		
Social Security Number Tax	payer Id	entification Number
or	_	
Telephone Number (Work) Telephone Number	mber (Ho	ome)
		-
Email Address		
MAILING INFORMATION		
Address		
Address		
Address		
City	Sta	te Zip Code
		2.5 0000
Foreign Province Foreign Postal Co	de	Foreign Country Name/Abbreviation
	OR I	
FOR CLAIMS PROCESSING ONLY BE FL ME DR ME ND ND	OP RE SH	FOR CLAIM PROCESSES ONLY



PART II. SCHEDULE OF TRANSACTIONS IN PUMA COMMON STOCK

A.	Number of shares of Puma common stock held	Proof Enclosed?				
	at the close of trading on July 21, 2014:				O Y	N

B. Purchases or acquisitions of Puma common stock (July 22, 2014 – May 13, 2015, inclusive):

	Trade Date(s) of Shares (List Chronologically)								Number of Shares Purchased					Total Purchase Price (Excluding Commissions, Taxes and Fees). Please round off to the nearest whole dollar.				Proof of Purchase Enclosed?			
1.	М	М	D /	D /	Υ	Y	Υ	Y						\$						_ 00	O Y O N
2.			/	/										\$						_ 00	O Y O N
3.			/	/										\$						_ 00	O Y O N
4.			/	/										\$						_ 00	O Y O N
5.			/	/										\$						00	O Y O N

C. Sales of Puma common stock (July 22, 2014 – June 11, 2015, inclusive):

Trade Date(s) of S (List Chronological	hares Number of Shares ally) Sold	Total Sales Price (Excluding Commissions, Taxes and Fees). Please round off to the nearest whole dollar.	Proof of Purchase Enclosed?		
1. M M D D Y	YYY	\$	00 ON		
2. / /		\$	00 Y		
3. / /		\$	00 ° N		
4. / /		\$	00 N		
5. / /		\$	00 ON		

D. Number of shares of Puma common stock held	Proof Enclosed?	,
at the close of trading on June 11, 2015:	Y N	

If you require additional space, attach extra schedules in the same format as above.

Sign and print your name on each additional page.

YOU MUST READ AND SIGN THE RELEASE ON PAGE 3. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.



TO MAKE A CLAIM YOU MUST READ AND SIGN THIS FORM. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGEMENTS

I submit this Proof of Claim under the terms of the order of the Court. I also submit to the jurisdiction of the United States District Court for the Central District of California with respect to my claim as a Class Member. I further acknowledge that I am bound by and subject to the terms of any judgment that may be entered in the Litigation. I agree to furnish additional information to the Claims Administrator, counsel for the parties, or the Court to support this claim if required to do so. I have not submitted any other claim covering the same purchases or sales of Puma common stock and know of no other person having done so on my behalf.

I hereby warrant and represent that I have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any claim covered by this Proof of Claim form or any portion thereof.

I (WE) DECLARE UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES OF AMERICA THAT ALL OF THE FOREGOING INFORMATION SUPPLIED ON THIS PROOF OF CLAIM FORM BY THE UNDERSIGNED IS TRUE AND CORRECT.

Executed this	day of	in					
		(Month/Year)		(City/State/Country)			
(Sign your name here)			(Sign your name he	ere)			
(Type or print your name	here)		(Type or print your r	name here)			
(Capacity of person(s) sig Beneficial Purchaser or A		ministrator)	(Capacity of person Beneficial Purchase	(s) signing, e.g., er or Acquirer, Executor or Administrator)			

ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME. THANK YOU FOR YOUR PATIENCE.

Reminder Checklist:

- 1. Please sign the above declaration.
- 2. Remember to attach supporting documentation, such as bank or broker statements or trade confirmations, if available.
- 3. Do not send original stock certificates.
- Keep a copy of your claim form and all supporting documentation for your records.
- If you desire an acknowledgment of receipt of your claim form please send it Certified Mail, Return Receipt Requested.
- 6. If you move, please provide your new address to the Claims Administrator by email at classact@gilardi.com or online at www.pumabiosecuritieslitigation.com.

FOR MORE INFORMATION, PLEASE GO TO WWW.PUMABIOSECURITIESLITIGATION.COM.



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